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“Amendment of the claims under Article 19(1)(Rule 46)”

Re: International Application No. PCT/JP2005/021501  
International Filing Date: 17.11.05 (17 November 2005)  
Our Ref.: R05214 PCT  
Applicant: RICOH COMPANY, LTD.  
Agent: ITOH Tadahiko

Dear Sir,

The applicant, who received the International Search Report relating to the above-identified International Application transmitted on 31 January 2006, hereby files an amendment under Article 19(1) as in the attached sheets.

Claims 1, 4, 21, 24 are amended, and claims 2, 3, 5-20, 22, 23, 25-42 are retained unchanged.

Please kindly acknowledge safe receipt of this facsimile letter.

Very truly yours,

Tadahiko Itoh

TI/ym

Attachment: Substitute sheets: (Nos. 86-104/2)

## CLAIMS

1. (Amended) An optical pick-up capable of recording or reproducing information for a first optical recording medium with a wavelength  $\lambda_1$ , a thickness  $t_1$  of a substrate thereof and a numerical aperture NA1 for use thereof and a second optical recording medium with a wavelength  $\lambda_1$ , a thickness  $t_2$  ( $> t_1$ ) of a substrate thereof and a numerical aperture NA2 ( $< NA_1$ ) for use thereof, comprising:

10           a light source configured to emit a light beam;  
            an objective lens configured to focus the light beam onto the first or second optical recording medium;  
            an aberration generation device provided between the light source and the objective lens, configured to  
15   generate coma aberration or spherical aberration for the light beam; and

            a medium determination device configured to determine which of the first and second optical recording media is set, wherein

20           when the medium determination device determines that the first optical recording medium is set, recording or reproducing of information is performed for the first optical recording medium while the aberration generation device generates spherical aberration and

25           when the medium determination device determines

that the second optical recording medium is set, recording or reproducing of information is performed for the second optical recording medium while the aberration generation device generates coma aberration.

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2. The optical pick-up as claimed in claim 1,  
wherein

the aberration generation device is composed of two lenses with refractive powers different from each other and a  
10 driving device,

at least one of the lenses is moved along a direction of an optical axis to generate spherical aberration, and

the other lens is moved along a direction  
15 orthogonal to the optical axis to generate coma aberration.

3. The optical pick-up as claimed in claim 1,  
wherein the aberration generation device has an electrode pattern configured to generate coma aberration and an  
20 electrode pattern configured to generate spherical aberration and is a liquid crystal element that sandwiches a liquid crystal layer.

4. (Amended) The optical pick-up as claimed in  
25 claim 1, wherein the aberration generation device generates

coma aberration in a radial direction of the second optical recording medium.

5        5. An optical pick-up to perform recording or  
reproducing of information for a first optical recording  
medium with a wavelength  $\lambda_1$ , a thickness  $t_1$  of a substrate  
thereof, and a numerical aperture  $NA_1$  for use thereof and a  
second optical recording medium with a wavelength  $\lambda_1$ , a  
thickness  $t_2$  ( $> t_1$ ) of a substrate thereof, and a numerical  
10    aperture  $NA_2$  ( $< NA_1$ ) for use thereof, comprising:

an aberration generation device configured to  
generate coma aberration or spherical aberration for a beam  
focused by an objective lens,

15        a device configured to perform a first control  
operation comprising

20        a first step of making a quantity of the coma  
aberration generated by the aberration generation device be a  
stored and predetermined value when a medium determination  
device configured to determine which of the first and second  
optical recording media is set determines that the first  
optical recording medium is set,

25        a second step of changing a quantity of the  
spherical aberration generated by the aberration generation  
device to store a driving condition of the aberration  
generation device on which condition an amplitude of a

recording information signal or a track error signal is maximum, and

a third step of performing an operation of recording or reproducing while a quantity of the spherical aberration is added based on the driving condition, and

a device configured to perform a second control operation comprising

a fourth step of making a quantity of the spherical aberration generated by the aberration generation device be a stored and predetermined value when the medium determination device determines that the second optical recording medium is set,

a fifth step of changing a quantity of the coma aberration generated by the aberration generation device, to store a driving condition of the aberration generation device on which condition an amplitude of a recording information signal or a track error signal is maximum, and

a sixth step of performing an operation of recording or reproducing while the quantity of the coma aberration is added based on the driving condition,

wherein the aberration generation device is controlled by the device for the first and second control operations.

wherein

the aberration generation device is composed of two lenses with refractive powers different from each other and a driving device,

5           at least one of the lenses is moved along a direction of an optical axis to generate spherical aberration, and

the other lens is moved along a direction orthogonal to the optical axis to generate coma aberration.

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7. The optical pick-up as claimed in claim 5, wherein the aberration generation device has an electrode pattern configured to generate coma aberration and an electrode pattern configured to generate spherical aberration  
15 and is a liquid crystal element that sandwiches a liquid crystal layer.

8. The optical pick-up as claimed in claim 5, wherein the aberration generation device generates coma  
20 aberration in a radial direction of the optical recording medium.

9. The optical pick-up as claimed in claim 5, wherein the aberration generation device generates under-  
25 spherical aberration at a time of recording or reproducing for

the first optical recording medium and generates over-spherical aberration at a time of recording or reproducing for the second optical recording medium, at a center point of a beam focused by the objective lens to which beam no aberration  
5 is added.

10. The optical pick-up as claimed in claim 5, wherein a value on a condition on which aberration is best or an information signal is best in a process of assembling the  
10 optical pick-up is stored as the predetermined value, which value is used as a center point of the spherical aberration or the coma aberration generated by the aberration generation device.

15 11. The optical pick-up as claimed in claim 5, wherein the objective lens is a lens providing a best aberration for the first optical recording medium and is provided with an aberration compensation element comprising a diffraction element or a phase shifter element between the  
20 objective lens and the aberration generation device.

12. The optical pick-up as claimed in claim 11, wherein the aberration compensation element is provided with a diffraction element whereby recording or reproducing is made  
25 using light beams with selectively different diffraction

orders dependent on an optical recording medium.

13. The optical pick-up as claimed in claim 11,  
wherein the diffraction element is molded with the objective  
5 lens as one unit and a diffraction grating is formed on a  
surface of the objective lens at a side of a light source.

14. An optical pick-up to perform recording or  
reproducing of information for an optical recording medium in  
10 which  $p$  layers ( $p \geq 2$ ) each with an information-recording  
surface are formed in a direction of a thickness thereof of  
which layers ( $p - q$ ) layer(s) at a front side near an  
objective lens is/are an information recording layer(s) with  
high recording density and  $q$  layer(s) at a back side away from  
15 the objective lens is/are an information recording layer(s)  
with low recording density, comprising:

an aberration generation device configured to  
generate coma aberration or spherical aberration for a beam  
focused by the objective lens,

20 a device configured to perform a first control  
operation comprising

a first step of making a quantity of the coma  
aberration generated by the aberration generation device be a  
stored and predetermined value when recording or reproducing  
25 of information is performed for the ( $p - q$ ) layer(s) of the



optical recording medium at the front side near the objective lens,

a second step of changing a quantity of the spherical aberration generated by the aberration generation device to store a driving condition of the aberration generation device on which condition an amplitude of a recording information signal or a track error signal is maximum, and

a third step of performing an operation of recording or reproducing while a spherical aberration is added based on the driving condition, and

a device configured to perform a second control operation comprising

a fourth step of making a quantity of the spherical aberration generated by the aberration generation device be a stored and predetermined value when recording or reproducing of information is performed for the q layer(s) of the optical recording medium at the back side away from the objective lens,

a fifth step of changing a quantity of the coma aberration generated by the aberration generation device to store a driving condition of the aberration generation device on which condition an amplitude of a recording information signal or a track error signal is maximum, and

a sixth step of performing an operation of

recording or reproducing while coma aberration is added based on the driving condition,

wherein control of the aberration generation device is performed by the device configured to perform the first and  
5 second control operations.

15. The optical pick-up as claimed in claim 14,  
wherein

the aberration generation device is composed of two  
10 lenses with refractive powers different from each other and a driving device,

at least one of the lenses is moved along a direction of an optical axis to generate spherical aberration,  
and

15 the other lens is moved along a direction orthogonal to the optical axis to generate coma aberration.

16. The optical pick-up as claimed in claim 14,  
wherein the aberration generation device has an electrode  
20 pattern configured to generate coma aberration and an electrode pattern configured to generate spherical aberration and is a liquid crystal element that sandwiches a liquid crystal layer.

25 17. The optical pick-up as claimed in claim 14,

wherein the aberration generation device generates coma aberration in a radial direction of the optical recording medium.

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18. The optical pick-up as claimed in claim 14, wherein the aberration generation device generates underspherical aberration at a time of recording or reproducing for the (p - q) layer(s) of the optical recording medium at the front side near the objective lens and generates overspherical aberration at a time of recording or reproducing for the q layer(s) of the optical recording medium at the back side away from the objective lens, at a center point of a beam focused by the objective lens to which beam no aberration is added.

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19. The optical pick-up as claimed in claim 14, wherein a value on a condition on which aberration is best or an information signal is best in a process of assembling the optical pick-up is stored as the predetermined value, which value is used as a center point of the spherical aberration or the coma aberration generated by the aberration generation device.

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20. The optical pick-up as claimed in claim 14,

wherein the optical recording medium has, at least, information-recording surfaces at any two or more thickness positions of 0.1 mm, 0.6 mm, and 1.2 mm from a side of the objective lens.

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21. (Amended) A method of generating aberration for compensation for an optical pick-up capable of recording or reproducing information for a first optical recording medium with a wavelength  $\lambda_1$ , a thickness  $t_1$  of a substrate thereof and a numerical aperture  $NA_1$  for use thereof and a second optical recording medium with a wavelength  $\lambda_1$ , a thickness  $t_2$  ( $> t_1$ ) of a substrate thereof and a numerical aperture  $NA_2$  ( $< NA_1$ ) for use thereof, wherein which of the first and second optical recording media is set is determined and a light beam emitted from a light source is focused on the first or second optical recording medium through an objective lens while coma aberration or spherical aberration is generated for the light beam by an aberration generation device provided between the light source and the objective lens, so that

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when the medium determination device determines that the first optical recording medium is set, recording or reproducing of information is performed for the first optical recording medium while the aberration generation device generates spherical aberration and

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when the medium determination device determines that the second optical recording medium is set, recording or reproducing of information is performed for the second optical recording medium while the aberration generation device  
5 generates coma aberration.

22. The method of generating aberration for compensation as claimed in claim 21, wherein

the aberration generation device is composed of two  
10 lenses with refractive powers different from each other and a driving device,

at least one of the lenses is moved along a direction of an optical axis to generate spherical aberration, and

15 the other lens is moved along a direction orthogonal to the optical axis to generate coma aberration.

23. The method of generating aberration for compensation as claimed in claim 21, wherein the aberration  
20 generation device has an electrode pattern configured to generate coma aberration and an electrode pattern configured to generate spherical aberration and is a liquid crystal element that sandwiches a liquid crystal layer.

25 24. (Amended) The method of generating aberration

for compensation as claimed in claim 21, wherein the aberration generation device generates coma aberration in a radial direction of the second optical recording medium.

- 5                   25. A method of generating aberration for compensation for an optical pick-up to perform recording or reproducing of information for a first optical recording medium with a wavelength  $\lambda_1$ , a thickness  $t_1$  of a substrate thereof, and a numerical aperture  $NA_1$  for use thereof and a  
10 second optical recording medium with a wavelength  $\lambda_1$ , a thickness  $t_2$  ( $> t_1$ ) of a substrate thereof, and a numerical aperture  $NA_2$  ( $< NA_1$ ) for use thereof, which performs, as a control of an aberration generation device configured to generate coma aberration or spherical aberration for a beam  
15 focused by an objective lens,  
                  a first control operation comprising  
                  a first step of making a quantity of the coma aberration generated by the aberration generation device be a stored and predetermined value when a medium determination  
20 device configured to determine which of the first and second optical recording media is set determines that the first optical recording medium is set,  
                  a second step of changing a quantity of the spherical aberration generated by the aberration generation  
25 device to store a driving condition of the aberration

generation device on which condition an amplitude of a recording information signal or a track error signal is maximum, and

5 a third step of performing an operation of recording or reproducing while a quantity of the spherical aberration is added based on the driving condition, and

a second control operation comprising

10 a fourth step of making a quantity of the spherical aberration generated by the aberration generation device be a stored and predetermined value when the medium determination device determines that the second optical recording medium is set,

15 a fifth step of changing a quantity of the coma aberration generated by the aberration generation device, to store a driving condition of the aberration generation device on which condition an amplitude of a recording information signal or a track error signal is maximum, and

20 a sixth step of performing an operation of recording or reproducing while the quantity of the coma aberration is added based on the driving condition.

26. The method of generating aberration for compensation as claimed in claim 25, wherein

25 the aberration generation device is composed of two lenses with refractive powers different from each other and a

driving device,

at least one of the lenses is moved along a direction of an optical axis to generate spherical aberration, and

5 the other lens is moved along a direction orthogonal to the optical axis to generate coma aberration.

27. The method of generating aberration for compensation as claimed in claim 25, wherein the aberration  
10 generation device has an electrode pattern configured to generate coma aberration and an electrode pattern configured to generate spherical aberration and is a liquid crystal element that sandwiches a liquid crystal layer.

15 28. The method of generating aberration for compensation as claimed in claim 25, wherein the aberration generation device generates coma aberration in a radial direction of the optical recording medium.

20 29. The method of generating aberration for compensation as claimed in claim 25, wherein the aberration generation device generates under-spherical aberration at a time of recording or reproducing for the first optical recording medium and generates over-spherical aberration at a  
25 time of recording or reproducing for the second optical



recording medium, at a center point of a beam focused by the objective lens to which beam no aberration is added.

30. The method of generating aberration for  
5 compensation as claimed in claim 25, wherein a value on a  
condition on which aberration is best or an information signal  
is best in a process of assembling the optical pick-up is  
stored as the predetermined value, which value is used as a  
center point of the spherical aberration or the coma  
10 aberration generated by the aberration generation device.

31. A method of generating aberration for  
compensation for an optical pick-up to perform recording or  
reproducing of information for an optical recording medium in  
15 which  $p$  layers ( $p \geq 2$ ) each with an information-recording  
surface are formed in a direction of a thickness thereof of  
which layers ( $p - q$ ) layer(s) at a front side near an  
objective lens is/are an information recording layer(s) with  
high recording density and  $q$  layer(s) at a back side away from  
20 the objective lens is/are an information recording layer(s)  
with low recording density, which performs, as a control of an  
aberration generation device configured to generate coma  
aberration or spherical aberration for a beam focused by the  
objective lens,  
25 a first control operation comprising

a first step of making a quantity of the coma aberration generated by the aberration generation device be a stored and predetermined value when recording or reproducing of information is performed for the (p - q) layer(s) of the optical recording medium at the front side near the objective lens,

a second step of changing a quantity of the spherical aberration generated by the aberration generation device to store a driving condition of the aberration generation device on which condition an amplitude of a recording information signal or a track error signal is maximum, and

a third step of performing an operation of recording or reproducing while a spherical aberration is added based on the driving condition, and

a second control operation comprising

a fourth step of making a quantity of the spherical aberration generated by the aberration generation device be a stored and predetermined value when recording or reproducing of information is performed for the q layer(s) of the optical recording medium at the back side away from the objective lens,

a fifth step of changing a quantity of the coma aberration generated by the aberration generation device to store a driving condition of the aberration generation

device on which condition an amplitude of a recording  
information signal or a track error signal is maximum, and

a sixth step of performing an operation of  
recording or reproducing while coma aberration is added based  
5 on the driving condition.

32. The method of generating aberration for  
compensation as claimed in claim 31, wherein

the aberration generation device is composed of two  
10 lenses with refractive powers different from each other and a  
driving device,

at least one of the lenses is moved along a  
direction of an optical axis to generate spherical aberration,  
and

15 the other lens is moved along a direction  
orthogonal to the optical axis to generate coma aberration.

33. The method of generating aberration for  
compensation as claimed in claim 31, wherein the aberration  
20 generation device has an electrode pattern configured to  
generate coma aberration and an electrode pattern configured  
to generate spherical aberration and is a liquid crystal  
element that sandwiches a liquid crystal layer.

25 34. The method of generating aberration for

compensation as claimed in claim 31, wherein the aberration generation device generates coma aberration in a radial direction of the optical recording medium.

5           35. The method of generating aberration for compensation as claimed in claim 31, wherein the aberration generation device generates under-spherical aberration at a time of recording or reproducing for the (p - q) layer(s) of the optical recording medium at the front side near the  
10 objective lens and generates over-spherical aberration at a time of recording or reproducing for the q layer(s) of the optical recording medium at the back side away from the objective lens, at a center point of a beam focused by the objective lens to which beam no aberration is added.

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          36. The method of generating aberration for compensation as claimed in claim 31, wherein a value on a condition on which aberration is best or an information signal is best in a process of assembling the optical pick-up is  
20 stored as the predetermined value, which value is used as a center point of the spherical aberration or the coma aberration generated by the aberration generation device.

          37. An optical information processing apparatus to  
25 perform recording or reproducing of information for an optical

recording medium, wherein the optical pick-up as claimed in claim 1 is provided.

38. An optical information processing apparatus to  
5 perform recording or reproducing of information for an optical recording medium, wherein the optical pick-up as claimed in claim 5 is provided.

39. An optical information processing apparatus to  
10 perform recording or reproducing of information for an optical recording medium, wherein the optical pick-up as claimed in claim 14 is provided.

40. An optical information processing apparatus to  
15 perform recording or reproducing of information for an optical recording medium, wherein the method of generating aberration for compensation as claimed in claim 21 is used.

41. An optical information processing apparatus to  
20 perform recording or reproducing of information for an optical recording medium, wherein the method of generating aberration for compensation as claimed in claim 25 is used.

42. An optical information processing apparatus to  
25 perform recording or reproducing of information for an optical

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recording medium, wherein the method of generating aberration  
for compensation as claimed in claim 31 is used.